



MiFID II blended learning curriculum

Introduction

The CSSF circular 17/665 requires that professionals 'ensure and demonstrate to competent authorities that natural persons giving investment advice or providing information about financial instruments, investment services or ancillary services to clients on behalf of the professional possess the necessary knowledge and competence to fulfil their obligations.'

Mindful of the continuous flow of information and contradictory signals to which each and every one of us is exposed, PwC's Academy has designed, in close collaboration with PwC's experts, an extensive blended learning curriculum to address the knowledge and competence criteria as set out by the regulator.

This training curriculum is designed to offer an effective, flexible and cost-efficient approach for professionals to build-up and maintain their MiFID II knowledge and competence.

Your reasons why

Keep internal skills and competencies up to date

Navigate the MiFID impacts

Gain deeper knowledge around MiFID requirements

Dive into the MiFID II framework, transaction reporting and governance



PwC's Academy

Description

Our MiFID curriculum has been designed to assist asset managers, client advisors, front-office representatives and other professionals in scope of the CSSF circular 17/665 to strengthen their knowledge.

Having a clear view on what currently drives markets and what is expected to drive them tomorrow is critical to understand how these topics can be a catalyst for growth or a driver of efficiency for your organisation.

Our trainers will also share with you best practices and tips.

No pre-requisite for these courses.

Objectives

By the end of this curriculum, participants will:

- Familiarise with MiFID II's purpose, main chapters, and compliance options.
- Understand MiFID II's impacts on financial markets, including structures like OTFs, SIs, and dark pools.
- Assess how MiFID II affects different business lines in financial institutions.
- Recognise MiFID II's efforts to boost transparency in pre- and post-trade activities.
- Highlight the importance of transaction reporting and governance within MiFID II.
- Understand the risks associated with non-compliance in daily duties.

Topics

Live learning

- MiFID II: the essentials (2h)
- MiFID II refresher (2h)

Each of the above topics is the subject of dedicated training sessions.

Digital learning

- MiFID II certification (e-learning)
- MiFID/MiFIR: key requirements (e-learning) (1h)
- MiFID II digital assessment (1h)



Tailored training programme

PwC's Academy can also instruct your team with the best training solutions on various topics tailored to your needs.



François Généaux
Advisory Leader
PwC Luxembourg
+352 49 48 48 4175
francois.genaux@pwc.lu



Etienne Hirsch
Head of PwC's Academy
PwC Luxembourg
+352 49 48 48 6022
etienne.hirsch@pwc.lu



Denis Graas
Advisory Director
PwC Luxembourg
+352 621 335 368
denis.graas@pwc.lu



Cécile Liégeois
Partner, Clients & Markets Leader
PwC Luxembourg
+352 621 332 245



Isabelle Willequet
Senior Manager - Regulatory & Compliance Advisory
PwC Luxembourg
+352 621 335 911
isabelle.willequet@pwc.lu